

USPTO  
RECEIPTS ACCOUNTING  
SECTION

REQUEST FOR REFUND  
Appln. No. 10/525,180  
Docket No. 074060.2

2008 NOV 25 AM 11:52

PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Applicant : Sawitzki  
Serial No. : 10/525,180  
Filing Date : 09/27/2006  
Art Unit : 1632  
Confirmation No. : 4919  
Examiner : Bausch, Sarae L.  
Title : IMMUNE MARKERS USED FOR DIAGNOSIS AND  
THERAPY IN CONNECTION WITH TRANSPLANT  
REACTIONS  
Attorney Docket No. : 074060.2

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REQUEST FOR REFUND  
(ERRONEOUS CHARGE TO DEPOSIT ACCOUNT)**

This paper requests a refund with respect to the charge on November 11, 2008 to Deposit Account 20-0809, for the above-identified application. A copy of the original Acknowledgement Receipt showing that payment was made in the amount of \$525.00 is attached as Exhibit A. Electronic Acknowledgement Receipt filed by U.S. Patent and Trademark Office September 3, 2008 shows no payment was received.<sup>1</sup>

---

<sup>1</sup> Attached as Exhibit B

**I FEES CHARGED FOR WHICH A REFUND IS REQUESTED REGARDING  
DEPOSIT ACCOUNT 20-0809 – STATEMENT DATED NOVEMBER 21, 2008<sup>2</sup>**

11/21/08	10525180	074060.2 (VKS W-01)	Showing Code 1254	-\$905.00
08/04/08	Amount paid via Credit Card (Acknowledgement Attached)			525.00
<b>TOTAL REFUND REQUESTED:</b>				<b>\$610.00</b>

**II EXPLANATION OF WHY THE CONTESTED CHARGE IS IN ERROR**

An Election and Preliminary Amendment to the Office Action mailed March 5, 2008 was originally filed through the USPTO's EFS-Web electronic filing system on August 4, 2008. The Election and Preliminary Amendment stated it was responsive to the March 3, 2008 Office action, which was a typographical error. The Acknowledgement Receipt dated August 4, 2008 shows fees were paid, via credit card, for a three-month extension of time (\$525.00). Therefore, only the amount of \$295.00 should have been deducted from Deposit Account No. 20-0809 for the fourth month extension. Please refund Deposit Account No. 20-0809 in the amount of \$610.00.

**III MANNER OF REFUND**

Please make refund by crediting Deposit Account 20-0809.

Respectfully submitted,  
THOMPSON HINE LLP

/Beverly A. Lyman/  
Beverly A. Lyman, Ph.D.  
Reg. No. 41,961

THOMPSON HINE LLP  
P.O. Box 8801  
Dayton, OH 45401-8801  
Telephone: (513) 352-6596  
Facsimile: (513) 241-4771

714429.1

---

<sup>2</sup> Attached as Exhibit C

## Electronic Acknowledgement Receipt

EFS ID:	3723956
Application Number:	10525180
International Application Number:	
Confirmation Number:	4919
<b>Title of Invention:</b> Immune Markers Used for Diagnosis and Therapy in Connection With Transplant Reactions	
First Named Inventor/Applicant Name:	Birgit Sawitzki
Customer Number:	26875
Filer:	Beverly A. Lyman/Dottle Hensley
Filer Authorized By:	Beverly A. Lyman
Attorney Docket Number:	VKSW-01
Receipt Date:	04-AUG-2008
Filing Date:	27-SEP-2006
Time Stamp:	15:17:01
Application Type:	U.S. National Stage under 35 USC 371

### Payment information:

Submitted with Payment	yes	<input checked="" type="checkbox"/>
Payment Type	Credit Card	
Payment was successfully received in RAM	\$525	<input checked="" type="checkbox"/>
RAM confirmation Number	722	
Deposit Account	200809	
Authorized User	LYMAN, BEVERLY	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

**File Listing:**

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)				
1		ELECTION.pdf	73290 04830358427153370344673114de5e1 4023610	yes	6				
<b>Multipart Description/PDF files in .zip description</b>									
<b>Document Description</b>		<b>Start</b>		<b>End</b>					
Preliminary Amendment		1		1					
Claims		2		5					
Applicant Arguments/Remarks Made in an Amendment		6		6					
<b>Warnings:</b>									
<b>Information:</b>									
2	Fee Worksheet (PTO-06)	fee-info.pdf	8209 04830358427153370344673114de5e1 4023610	no	2				
<b>Warnings:</b>									
<b>Information:</b>									
<b>Total Files Size (in bytes):</b>			81499						

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 Indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

## **Electronic Acknowledgement Receipt**

<b>EFS ID:</b>	3879490
<b>Application Number:</b>	10525180
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	4919
<b>Title of Invention:</b>	Immune Markers Used for Diagnosis and Therapy In Connection With Transplant Reactions
<b>First Named Inventor/Applicant Name:</b>	Birgit Sawitzki
<b>Customer Number:</b>	26875
<b>Filer:</b>	Beverly A. Lyman/Jan M Lewin
<b>Filer Authorized By:</b>	Beverly A. Lyman
<b>Attorney Docket Number:</b>	VKSW-01
<b>Receipt Date:</b>	03-SEP-2008
<b>Filing Date:</b>	27-SEP-2006
<b>Time Stamp:</b>	13:33:14
<b>Application Type:</b>	U.S. National Stage under 35 USC 371

### **Payment information:**

Submitted with Payment   Error

### **File Listing:**

Document Number	Document Description	File Name	File Size(Bytes)/Message Digest	Multi Part/.zip	Pages (if appl.)
1	Power to Make Copies and/or Inspect	VKSW_01_Rev_POA.pdf	256505 ad554497ac72a0223d4f7bdc5816208b672 c92ab	no	2

**Warnings:**

**Information:**

Total Files Size (in bytes):

256505

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an International application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Hensley, Dottie

From: Kinninger, Mary  
Sent: Friday, November 21, 2008 2:36 PM  
To: Hensley, Dottie  
Subject: von Kreisler Selting Werner (074060.00002)

Dottie, PTO has charged our deposit account for the attached. Please send a completed requisition form and attached any documentation to me. Thanks.

My Accounts | USPTO Financial Profile - Microsoft Internet Explorer provided by ThompsonHine LLP

File Edit View Favorites Tools Help

Back Stop Refresh Stop Search Favorites Links

Address: https://ramps.uspto.gov/rampfp/saleDetail.html

Type: Sale Name/Number: 10525180  
Status: Active Attorney Docket Number: VKSW-01  
Accounting Date: 11/21/2008

**Sale Items**

Name/Number	Attorney Docket Number	Status	Quantity	Item Total	Payment Amount	Fee Code	Description
10525180	VKSW-01	Active	1	\$0.00	\$905.00	1254	EXTENSION FOR RESPONSE WITHIN MONTH

**Payment Details**

Payment Type	Total Payment Amount	Payment Date	Payment Amount (this sale)
Deposit Account	\$905.00	11/21/2008	

< Back

My Accounts | USPTO Financial Profile

Start Internet

Elte Enterprise Microsoft Applications Microsoft My Account

Document code: WFEE

United States Patent and Trademark Office  
Sales Receipt for Accounting Date: 11/21/2008

LHUMES SALE #00000001 Mailroom Dt: 08/04/2008 200809 10525180  
01 FC:1254 905.00 DA

11/28/2008 SDIRETA1 00000006 233000 10525180  
01 FC:2254 295.00 DA

Adjustment date: 11/28/2008 SDIRETA1  
11/21/2008 LHUMES 00000001 200809 10525180  
01 FC:1254 905.00 CR